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APPLICATION NUMBER FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/607,559

PENNIE AND EDMONDS

NEW YORK, NY 100362711

1155 AVENUE OF THE AMERICAS

06/25/2003

Rhonda J. Honeycutt

11399-005-999

CONFIRMATION NO. 7286

FORMALITIES LETTER

OC000000011027542

Date Mailed: 10/10/2003

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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A copy of this notice <u>MUST</u> be returned with the reply.

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PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED		* Indicates
See <u>www.uspto.gov</u> for complete FY 2003		fees remain
and FY-2004 Fee Schedules		at FY 2003
and F1-2004 Fee Schedules		amount
DESCRIPTION	FY 2003	FY 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
Independent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
Multiple dependent claim	\$280	\$290
Multiple dependent claim (Small Entity)	\$140	\$145
Surcharge - Late filing fee	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
	\$330	\$340
Design filing fee	\$165	\$170
Design filing fee (Small Entity)	\$520	\$530
Plant filing fee	\$260	\$265
Plant filing fee (Small Entity)	\$750	\$770
Reissue filing fee	\$375	\$385
Reissue filing fee (Small Entity)	\$84	\$86
Reissue independent claims Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty	\$18	\$18*
Reissue claims in excess of twenty Reissue claims in excess of twenty (Small Entity)	\$9	\$9* -
Reissue claims in excess of twenty (ornal Entity)	\$160	\$160*
Provisional application filing fee Provisional application filing fee (Small Entity)	\$80	\$80*
Surcharge - Late provisional filing fee	\$50	\$50*
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Surcharge - Late provisional hing fee (ornal Entry)	\$130	\$130*
Non-English Specification	\$110	\$110*
Extension - First month Extension - First month (Small Entity)	\$55	\$55*
	\$410	\$420
Extension - Second month	\$205	\$210
Extension - Second month (Small Entity)	\$930	\$950
Extension - Third month	\$465	\$475
Extension - Third month (Small Entity)	\$1,450	\$1,480
Extension - Fourth month	\$725	\$740
Extension - Fourth month (Small Entity)	\$1,970	\$2,010
Extension - Fifth month	\$985	\$1,005
Extension - Fifth month (Small Entity)	\$130	\$130*
Petition	\$50	\$50*
Processing returned checks	400	•

For further fee information, please call (703-308-HELP)